



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Walpole et al. GROUP: 2874  
SERIAL NO: 09/903,099 EXAMINER: E.P. Cherry  
FILED: 07/11/2001  
FOR: SLAB-COUPLED OPTICAL WAVEGUIDE LASER AND AMPLIFIER

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
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Sir:

INFORMATION DISCLOSURE STATEMENT

In compliance with 37 C.F.R. §§1.56, 1.97, and 1.98, Applicant submits copies of the documents listed on the attached Form PTO-1449.

The listed documents were recently cited in a corresponding PCT application, and a copy of the International Search Report is being submitted herewith for purposes of convenience.

The Commissioner is authorized to charge Deposit Order Account No. 19-0079 for any further fee that is required.

Respectfully submitted,

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I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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09/903,099  
SERIAL NO.

Walpole et al.  
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2874  
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July 11, 2001  
FILING DATE

E.P. Cherry  
EXAMINER

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,791,646	12/13/1988	Lindsey et al.			11/23/1987
	AB	3,899,235	08/12/1975	Arnaud et al.			03/11/1974
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AJ						
	AK						
	AL						
	AM						

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL		
	AN	"A Real Index Guided InGaAlP Visible Laser Diode with a Small Beam Astigmatism," Okajima et al. IEEE Journal of Quantum Electronics. June, 1991. No. 6.
	AO	

EXAMINER

DATE CONSIDERED

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Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.